



Corporate Governance and MoME Services

As an established provider of independent management, administration and corporate governance services our team brings extensive skills and expert knowledge to the management and administration needs of a diversified client consisting of a variety of investment structures.

Moore is licensed in Jersey to undertake a wide range of Fund Services Business (“FSB”) and Trust Company Business (“TCB”) activities and to provide a range of ancillary services to support our clients in the set up, administration and ongoing management of their fund.

Corporate Governance

The corporate governance and substance of management entities within a fund structure is often a key component for a fund promoter being able to demonstrate effective independent oversight of a fund’s activities. We have extensive experience in providing services to support the corporate governance of a fund.

- Providing professionally qualified and experienced team principals to act as directors on the GP board.
- Providing registered office and corporate secretary
- Implement measures and controls to ensure the effective management of a structure through
 - Regular board meetings
 - Director involvement in the investment transaction process
 - Implementation of fund specific procedural controls
 - Compliance monitoring and review against procedures and controls independent of the admin team

MoME Services

Recent changes to the way Fund Services Businesses are licensed in Jersey has driven the need for specialist service providers who are able to support clients requiring a regulated entity as part of their fund structure. Often these regulated “Managed Entities” are established by non Jersey promoters for the purpose of acting for a specific fund or series of funds and, as they have no physical substance on the Island, they require the services of a licensed Jersey service provider to assist them in ensuring compliance with their obligations. The provision of support services to

a regulated entity requires a licence to act as a Manager of a Managed Entity “MoME” under the Financial Services (Jersey) law 1998.

Moore is licensed and experienced to act as a MoME and provide the following specialist compliance services for Managed Entities

- Provision of compliance, anti-money laundering reporting and anti-money laundering compliance officers
- Design and maintenance of a compliance monitoring framework
- Drafting and implementation of an entity specific compliance manual
- Preparation of tailored risk and compliance board reports
- Maintenance of FSB regulatory registers (breaches, errors and omissions, complaints, conflicts etc.)
- Monitoring of changes to relevant law and regulation

For more information, please contact:

Jonathan Buesnel in Jersey
Email: jonathan.buesnel@mooregrouppltd.com
Telephone: +44 (0) 1534 822 512

Kevin Gilley in Jersey
Email: kevin.gilley@mooregrouppltd.com
Telephone: +44 (0) 1534 822 510

Shinobu Miyata in Tokyo
Email: shinobu.miyata@mooremanagement.jp

www.mooregrouppltd.com

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